

Form PTO-1449 (modified)

Atty. Docket No.  
11916.0048.NPUS00

Serial No. To be assigned

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant:  
Yunhau N. Jeng  
Kanaiyalal R. PatelFiling Date:  
Herewith

Group: To be assigned

U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1-2Other Art  
See Page 2
 11040 U.S. PTO  
 09/891445  
 06/26/01

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
RT	A1	4,816,568	3-28-89	Hamilton et al.	530	399	5-16-86
	A2	4,857,506	8-15-89	Tyle	514	12	01-12-87
	A3	4,917,685	4-17-90	Viswanathan et al.	604	891.1	5-16-86
	A4	4,977,140	12-11-90	Ferguson et al.	514	12	03-23-89
	A5	5,034,229	07-23-91	Magruder et al.	424	422	12-13-88
	A6	5,219,572	06-15-93	Sivaramakrishnan et al.	424	438	07-25-91
	A7	5,356,635	10-18-94	Raman et al.	424	484	07-13-93
	A8	5,359,030	10-25-94	Ekwuribe	530	303	05-10-93
	A9	5,399,489	03-21-95	Krivi	435	69.4	11-12-92
	A10	5,438,040	08-01-95	Ekwuribe	514	3	07-19-94
	A11	5,520,927	05-28-96	Kim et al.	424	450	07-14-94
	A12	5,612,315	03-18-97	Pikal et al.	514	21	07-06-92
	A13	5,681,811	10-28-97	Ekwuribe	514	8	07-31-95
	A14	5,739,108	04-14-98	Mitchell	514	12	12-11-95
	A15	5,744,163	04-28-98	Kim et al.	424	489	11-13-96
	A16	5,849,704	12-15-98	Sørensen et al.	514	12	06-02-95
	A17	5,986,073	11-16-99	Storrs et al.	530	419	12-21-95
	A18						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
RT	B1	WO 97/03692	02-06-97	WIPO	A61K	38/27	
L	B2	EP 0 216 485	04-01-87	EP	C07G	15/00	

EXAMINER:

R. Teller

DATE CONSIDERED:

11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)		Atty. Docket No. 11916.0048.NPUS00	Serial No. To be assigned
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant: Yunhau N. Jeng Kanaiyalal R. Patel	
		Filing Date: Herewith	Group: To be assigned
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 1-2	Other Art See Page 2	

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
RT	B3	WO 93/13792	07-22-93	WIPO	A61K	37/02	
	B4	WO 93/19773	10-14-93	WIPO	A61K	37/02	
	B5	WO 93/12812	07-08-93	WIPO	A61K	37/36	
	B6	EP 0 523 330 A1	01-20-93	EP	A61K	9/00	
	B7	WO 00/13674	03-16-00	WIPO	A61K	9/20	
	B8						

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

EXAMINER: R. Teller

DATE CONSIDERED: 11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

Atty. Docket No.  
11916.0048.NPUS00

Serial No. 09/891,455

List of Patents and Publications for Applicant's

Applicant:  
Yunhua N. Jeng  
Kanaiyalal R. Patel

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
June 26, 2001Group:  
1615U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1-2Other Art  
See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
RT	A1	4,816,568	3-28-89	Hamilton et al.	530	399	5-16-86
	A2	4,857,506	8-15-89	Tyle	514	12	01-12-87
	A3	4,917,685	4-17-90	Viswanathan et al.	604	891.1	5-16-86
	A4	4,977,140	12-11-90	Ferguson et al.	514	12	03-23-89
	A5	5,034,229	07-23-91	Magruder et al.	424	422	12-13-88
	A6	5,219,572	06-15-93	Sivaramakrishnan et al.	424	438	07-25-91
	A7	5,356,635	10-18-94	Raman et al.	424	484	07-13-93
	A8	5,359,030	10-25-94	Ekwuribe	530	303	05-10-93
	A9	5,399,489	03-21-95	Krivi	435	69.4	11-12-92
	A10	5,438,040	08-01-95	Ekwuribe	514	3	07-19-94
	A11	5,520,927	05-28-96	Kim et al.	424	450	07-14-94
	A12	5,612,315	03-18-97	Pikal et al.	514	21	07-06-92
	A13	5,681,811	10-28-97	Ekwuribe	514	8	07-31-95
	A14	5,739,108	04-14-98	Mitchell	514	12	12-11-95
	A15	5,744,163	04-28-98	Kim et al.	424	489	11-13-96
	A16	5,849,704	12-15-98	Sørensen et al.	514	12	06-02-95
	A17	5,986,073	11-16-99	Storrs et al.	530	419	12-21-95
	A18						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
RT	B1	EP 0 216 485 A1	04-01-87	EP	<del>C07G</del>	<del>15/00</del>	

EXAMINER:

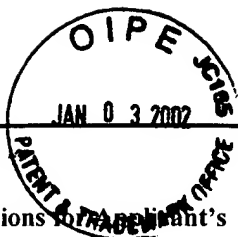
R.T. Allen

DATE CONSIDERED:

11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

List of Patents and Publications of Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
11916.0048.NPUS00

Serial No. 09/891,455

Applicant:  
Yunhua N. Jeng  
Kanaiyalal R. PatelFiling Date:  
June 26, 2001Group:  
1615U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1-2Other Art  
See Page 2

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
RT	B2	EP 0 523 330 A1	01-20-93	EP	A61K	9/00	
	B3	WO 93/12812	07-08-93	WIPO	A61K	37/36	
	B4	WO 93/13792	07-22-93	WIPO	A61K	37/02	
	B5	WO 93/19773	10-14-93	WIPO	A61K	37/02	
	B6	WO 97/03692	02-06-97	WIPO	A61K	38/27	
	B7	WO 00/13674	03-16-00	WIPO	A61K	9/20	
	B8						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

EXAMINER:

R. Teller

DATE CONSIDERED:

11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
11916.0048.NPUS00

Serial No. 09/891,455

Applicant:  
Yunhua N. Jeng  
Kanaiyalal R. PatelFiling Date:  
June 26, 2001Group:  
1615

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A18						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
R.T.	B8	WO 98/29131	07-09-98	WIPO			
I	B9	WO 97/29767	08-21-97	WIPO			
	B10	EP 303 746 A1	02-22-89	EP			
	B11						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Katakam, Manohar: <i>Use of non-ionic surfactants to stabilize recombinant human growth hormone and to develop its sustained release formulation</i> , DISS. ABSTR. INT., vol. 57, no. 5, 1996, page 3149.
	C2	

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

APR 25 2002

TECH CENTER 1600/2900

EXAMINER: R. Teller

DATE CONSIDERED: 11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)